

# Relational Algebra

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Database Management: Complete Book, Chapters 2 & 5

# Algebra

- 2 "fathers of algebra":
  - where algebra  $\equiv$  theory of equations  
→ Greek *Diophantus*
  - where algebra  $\equiv$  rules for manipulating & solving equations  
→ Persian *al-Khwarizmi*

- Source: Wikipedia

Xorazm,  
Usbekistan



# What is “Algebra”?

- Mathematical system consisting of:
  - **Operands** - variables or values from which new values can be constructed
  - **Operators** - symbols denoting procedures that construct new values from given values
  - Ex:  $((x + 7)/(2 - 3)) + x$
- **Algebra**  $A = (C, OP)$ 
  - "simplest" mathematical structure:
    - C nonempty **carrier set** (=value set)
    - OP nonempty **operation set**
    - C **closed** under OP expressions



# Selection

- $R1 := \sigma_C(R2)$ 
  - $C$  : condition on attributes of  $R2$ .
  - $R1$  is all those tuples of  $R2$  that satisfy  $C$ .

sid	name	login	gpa
-----			
53666	Jones	jones@cs	3.4
53688	Smith	smith@eecs	3.2
53650	Smith	smith@math	3.8

$\sigma_{\text{gpa} < 3.8}(\text{Students})$ :

sid	name	login	gpa
-----			
53666	Jones	jones@cs	3.4
53688	Smith	smith@eecs	3.2

# Selection: Observations

- unary operation: 1 table
- conditions apply to each tuple individually
  - condition cannot span tuples (how to do that?)
- degree of  $\sigma_C(R)$  = degree of R
  - Cardinality?
- Select is commutative:  $\sigma_{C_1}(\sigma_{C_2}(R)) = \sigma_{C_2}(\sigma_{C_1}(R))$ 
  - Ex:  $\sigma_{S.sid=E.sid}(\sigma_{E.cid=C.cid}(R)) = \sigma_{E.cid=C.cid}(\sigma_{S.sid=E.sid}(R))$

# Projection

- $R1 := \pi_{attr}(R2)$ 
  - $attr$  : list of attributes from R2 schema
- For each tuple of R2:
  - extract attributes from list  $attr$  in order specified (!)  $\rightarrow$  R1 tuple
- Eliminate duplicate tuples

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-----			
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$\pi_{name,login}(Students) =$

name	login
-----	
Jones	jones@cs
Smith	smith@eecs

# Projection: Observations

- Unary operation: 1 table
- removes duplicates in result
  - Cardinality?
  - Degree?
- Project is **not** commutative
- Sample algebraic law:  $\pi_{L_1} ( \pi_{L_2}(R) ) = \pi_{L_1}(R)$  if  $L_1 \subseteq L_2$ 
  - else incorrect expression, syntax error
  - Ex:  $\pi_{\text{name}} ( \pi_{\text{name,gpa}}(R) ) = \pi_{\text{name}}(R)$

# Exercises

- $\pi_{\text{Name,login}}(\sigma_{\text{gpa}=3.8}(\text{Students})) = ?$

sid	name	login	gpa
53666	Jones	jones@cs	3.4
53688	Smith	smith@eecs	3.2
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- "name and rating for sailors with rating > 8"
  - Note explicit operation **sequence**!



# Cartesian Product

- project, select operators operate on **single** relation
- Cartesian product combines **two**:  $R3 = R1 \times R2$ 
  - Pair each tuple  $t1 \in R1$  with each tuple  $t2 \in R2$
  - Concatenation  $t1, t2$  is a tuple of  $R3$
  - Schema of  $R3$  = attributes of  $R1$  and then  $R2$ , in order
  - if attribute  $A$  of the same name in  $R1$  and  $R2$ : use  $R1.A$  and  $R2.A$
- Algebraic laws? Associative; commutative if ignoring attribute order; ...

# Cross Product (“Cartesian Product”)

- Example  $U := R \times S$

$A$	$B$
1	2
3	4

(a) Relation  $R$

$B$	$C$	$D$
2	5	6
4	7	8
9	10	11

(b) Relation  $S$

$A$	$R.B$	$S.B$	$C$	$D$
1	2	2	5	6
1	2	4	7	8
1	2	9	10	11
3	4	2	5	6
3	4	4	7	8
3	4	9	10	11

(c) Result  $R \times S$

# Natural Join

- $T = R \bowtie S$

- Ex: Reserves  $\bowtie_{bid}$  Sailors

„natural“ = remove  
duplicate attribute(s)

- connect **two** relations:

- Equate attributes of **same name**, **project out** redundant attribute(s)

<i>A</i>	<i>B</i>
1	2
3	4

(a) Relation *R*

<i>B</i>	<i>C</i>	<i>D</i>
2	5	6
4	7	8
9	10	11

(b) Relation *S*

<i>A</i>	<i>R.B</i>	<i>S.B</i>	<i>C</i>	<i>D</i>
1	2	2	5	6
1	2	4	7	8
1	2	9	10	11
3	4	2	5	6
3	4	4	7	8
3	4	9	10	11

(c) Result  $R \times S$

<i>A</i>	<i>B</i>	<i>C</i>	<i>D</i>
1	2	5	6
3	4	7	8

$R \bowtie S$

# Generalizing Join

- $T = R \bowtie_C S$ 
  - First build  $R \times S$ , then apply  $\sigma_C$
- Generalization of equi-join:  $A \theta B$  where  $\theta$  one of  $=, <, \dots$ 
  - Today, more general:  $\sigma_C$  can be any predicate
- Common join types:
  - Left join, right join, natural join, self join, ...

# Relational Algebra: Summary

= Mathematical definition of relations + operators

- Query = Algebraic expression
- **Relational algebra**  $A = (R, OP)$  with relation  $R = A_1 \times \dots \times A_n$ ,  $OP = \{\pi, \sigma, \times\}$ 
  - **Projection**:  $\pi_{attr}(R) = \{ r.attr \mid r \in R \}$
  - **Selection**:  $\sigma_p(R) = \{ r \mid r \in R, p(r) \}$
  - **Cross product**:  $R_1 \times R_2 = \{(r_{11}, r_{12}, \dots, r_{21}, r_{22}, \dots) \mid (r_{11}, r_{12}, \dots) \in R_1, (r_{21}, r_{22}, \dots) \in R_2\}$
  - Further: set operations, join, ...
- Set + predicate notation = **Relational Calculus**
  - Equally powerful as Relational Algebra – proven by E Codd

# Relational Calculus

- **Tuple variable** = variable over some relation schema
- **Query**  $Q = \{ T \mid T \in R, p(T) \}$ 
  - R relation schema,  $p(T)$  predicate over T
- **Example 1: "sailors with rating above 8"**
  - Sailors =  $\text{sid:int} \times \text{sname:string} \times \text{rating:int} \times \text{age:float}$
  - =  $\{ S \mid S \in \text{Sailors} \wedge S.\text{rating} > 8 \}$
- **Example 2: "names of sailors who have reserved boat #103":**
  - Reserves =  $\text{sid:int} \times \text{bid:int} \times \text{day:date}$
  - =  $\{ S.\text{sname} \mid \exists S \in \text{Sailors} \exists R \in \text{Reserves}: R.\text{sid} = S.\text{sid} \wedge R.\text{bid} = 103 \}$

# Comparison of Relational Math

- Relational **algebra**
  - set-based formalization of selection, projection, cross product (no aggregation!)
  - Operation oriented = procedural = **imperative**; therefore basis of optimization
- Relational **calculus**
  - Same, but in predicate logic
  - Describing result = **declarative**; therefore basis of SQL semantics
- **Equally powerful**
  - proven by E Codd in 1970