

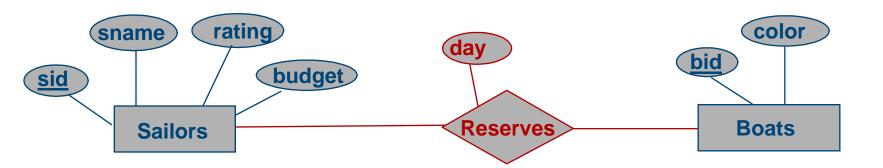
SQL

Ramakrishnan & Gehrke, Chapters 4 & 5





Example Instances



| Sailors | | | | | |
|---------|--------|--------|------|--|--|
| sid | sname | rating | age | | |
| | | | | | |
| 22 | Dustin | 7 | 45.0 | | |
| 31 | Lubber | 8 | 55.5 | | |
| 58 | Rusty | 10 | 35.0 | | |
| | | | | | |

| Rese | Reserves | | | | | |
|------|----------|----------|--|--|--|--|
| sid | bid | day | | | | |
| | | | | | | |
| 22 | 101 | 10/10/96 | | | | |
| 58 | 103 | 11/12/96 | | | | |
| | | | | | | |

| Boat | ts |
|------|-------|
| bid | color |
| | |
| 101 | red |
| 102 | blue |
| | |



Basic SQL Query Structure

SELECT [DISTINCT] target-list

FROM relation-list

WHERE qualification

- relation-list
 - list of relation names (possibly with a range-variable after each name)
- target-list
 - A list of attributes of relations in relation-list, possibly using range variables
- qualification
 - Attr op const or Attr1 op Attr2 where op one of <, >, =, \neq , \leq , \geq combined using AND, OR, NOT
- DISTINCT is optional for suppressing duplicates
 - By default duplicates not eliminated! ...so tables actually are multisets, not sets



Conceptual Evaluation Strategy

SELECT [DISTINCT] target-list

FROM relation-list

WHERE qualification

- Semantics of an SQL query defined in terms of the following conceptual evaluation strategy:
 - Compute the cross-product of relation-list
 - Discard resulting tuples if they fail qualification
 - Delete attributes that are not in target-list
 - If DISTINCT is specified, eliminate duplicate rows
- This strategy is probably the least efficient way to compute a query!
 - An optimizer will find more efficient strategies to compute the same answers



Example of Conceptual Evaluation

```
SELECT S.sname
FROM Sailors S, Reserves R
WHERE S.sid=R.sid AND R.bid=103
```

| (sid) | sname | rating | age | (sid) | bid d | ay |
|-------|--------|--------|------|-------|-------|---------|
| 22 | Dustin | 7 | 45.0 | 22 | 101 1 | 0/10/96 |
| 22 | Dustin | | 45.0 | 58 | | 1/12/96 |
| 31 | Lubber | 8 | 55.5 | 22 | 101 1 | 0/10/96 |
| 31 | Lubber | 8 | 55.5 | 58 | 103 1 | 1/12/96 |
| 58 | Rusty | 10 | 35.0 | 22 | 101 1 | 0/10/96 |
| 58 | Rusty | 10 | 35.0 | 58 | 103 1 | 1/12/96 |
| | | | | | | |

cardinality?



A Note on Range Variables

- Really needed only if the same relation appears twice in the FROM clause
- previous query can also be written as:

```
SELECT S.sname
FROM Sailors S, Reserves R
WHERE S.sid=R.sid AND bid=103
```

Or:

SELECT sname
FROM Sailors, Reserves
WHERE Sailors.sid=Reserves.sid AND bid=103

It is good style, however, to use range variables always!



Join

Join = several tables addressed in one query

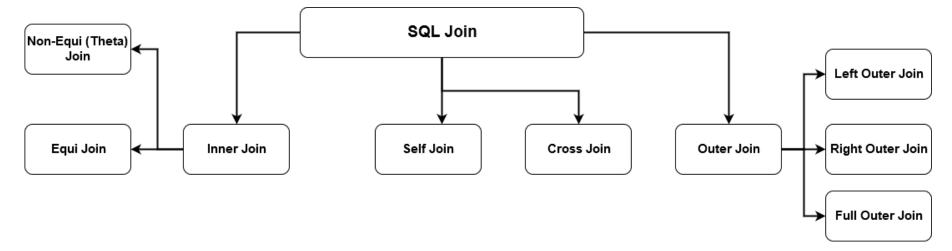
SELECT target-list FROM Relation1 R1, Relation2 R2, ... WHERE qualification

- List of relations in FROM clause determine cross product
- Frequently cross-relation conditions on attribute values to restrict results
- Most common: R1.attr1 = R2.attr2
 - ex: SELECT S.sid
 FROM Sailors S, Reserves R
 WHERE S.sid=R.sid



More Joins

- $T = R \bowtie_C S$
 - First build R x S, then apply σ_C
- Generalization of equi-join: A θ B where θ one of =, <, ...
 - Today, more general: σ_C can be any predicate
- Common join types [Quest]:

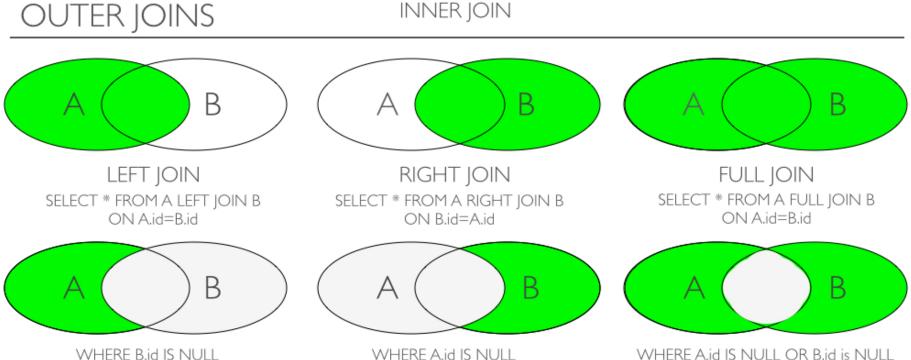




Even More on Joins



OUTER JOINS





"Sailors who've reserved at least 1 boat"

SELECT S.sid FROM Sailors S, Reserves R WHERE S.sid=R.sid

| (sid) | sname | rating | age | (sid) | bid | day |
|-------|--------|--------|------|-------|-----|----------|
| 22 | Dustin | 7 | 45.0 | 22 | 101 | 10/10/96 |
| 22 | Dustin | 7 | 45.0 | 58 | | 11/12/96 |
| 31 | Lubber | 8 | 55.5 | 22 | 101 | 10/10/96 |
| 31 | Lubber | 8 | 55.5 | 58 | 103 | 11/12/96 |
| 58 | Rusty | 10 | 35.0 | 22 | 101 | 10/10/96 |
| 58 | Rusty | 10 | 35.0 | 58 | 103 | 11/12/96 |
| | | | | | | |

- Would adding DISTINCT to this query make a difference?
- What is the effect of replacing S.sid by S.sname in the SELECT clause?
 Would adding DISTINCT to this variant of the query make a difference?



"sid's of sailors who have reserved a red or a green boat"

- UNION: Can be used to compute the union of any two union-compatible sets of tuples
 - which themselves are the result of SQL queries

- If we replace OR by AND in the first version, what do we get?
- Also available: EXCEPT
 - What do we get if we replace UNION by EXCEPT?

SELECT S.sid
FROM Sailors S, Boats B, Reserves R
WHERE S.sid=R.sid AND R.bid=B.bid
AND (B.color='red' OR B.color='green')

SELECT S.sid
FROM Sailors S, Boats B, Reserves R
WHERE S.sid=R.sid AND R.bid=B.bid
AND B.color='red'

UNION

SELECT S.sid
FROM Sailors S, Boats B, Reserves R
WHERE S.sid=R.sid AND R.bid=B.bid
AND B.color='green'

"Find sid's of sailors who have reserved NIVERSITY a red and a green boat"

- INTERSECT: Can be used to compute the intersection of any two union-compatible sets of tuples
- Included in the SQL/92 standard,
 but some systems don't support it
- Contrast symmetry of the UNION and INTERSECT queries with how much the other versions differ!

SELECT S.sid
FROM Sailors S, Boats B1, Reserves R1,
Boats B2, Reserves R2
WHERE S.sid=R1.sid AND R1.bid=B1.bid
AND S.sid=R2.sid AND R2.bid=B2.bid
AND (B1.color='red' AND B2.color='green')

Key field!

SELECT S.sid
FROM Sailors S, Boats B, Reserves R
WHERE S.sid=R.sid AND R.bid=B.bid
AND B.color='red'

INTERSECT

SELECT S.sid
FROM Sailors S, Boats B, Reserves R
WHERE S.sid=R.sid AND R.bid=B.bid
AND B.color='green'



Set Operations: Summary

- SELECT S1.a, S2.b FROM S1, S2
 - $S1 \times S2 = [<a,b> | a \in S1, b \in S2]$
- \$1 UNION \$2
 - $S1 \cup S2 = [t | t \in S1 \lor t \in S2]$
- S1 INTERSECT S2
 - $S1 \cap S2 = [t | t \in S1 \land t \in S2]$
- \$1 EXCEPT \$2
 - $S1 \setminus S2 = [t | t \in S1 \land t \notin S2]$
- SUM(S.num), AVG(), ...
 - $\sum_{t \in S} t.\mathsf{num}$

- EXISTS(S)
 - S ≠ {}
- t IN S2 t = ANY(S2)
 - t ∈ S2
- t op ANY(S)t op SOME(S)
 - $\exists x \in S: t op x$
 - $(t op s_1) \lor ... \lor (t op s_n)$ for $s_i \in S$
- t op ALL (S)
 - ∀ x∈S: t op x
 - $(t op s_1) \land ... \land (t op s_n)$ for $s_i \in S$



Set Operations: Unique or Duplicates?

- Recall: Relations are multi-sets
- When are duplicates kept / eliminated?

| keep duplicates | remove duplicates |
|-----------------|-------------------|
| SELECT | SELECT DISTINCT |
| UNION ALL | UNION |
| INTERSECT ALL | INTERSECT |
| EXCEPT ALL | EXCEPT |



Aggregate Operators

Summary information instead of value list

SELECT COUNT (*)
FROM Sailors S

COUNT(*)
COUNT([DISTINCT] A)
SUM([DISTINCT] A)
AVG([DISTINCT] A)
MAX(A)
MIN(A)

A: single column

SELECT COUNT (DISTINCT S.rating)
FROM Sailors S
WHERE S.sname='Bob'

SELECT AVG (S.age) FROM Sailors S WHERE S.rating=10

SELECT AVG (DISTINCT S.age)
FROM Sailors S
WHERE S.rating=10

SELECT S.sname
FROM Sailors S
WHERE S.rating= (SELECT MAX(S2.rating)
FROM Sailors S2)



Nested Queries

"Names of sailors who have reserved boat #103":

```
SELECT S.sname
FROM Sailors S
WHERE S.sid IN (SELECT R.sid
FROM Reserves R
WHERE R.bid=103)
```

```
SELECT S.sname
FROM Sailors S, (SELECT R.sid
FROM Reserves R
WHERE R.bid=103) as X
WHERE S.sid = X.sid
```

- WHERE clause can itself contain an SQL query
 - so can FROM and HAVING, just not SELECT
- Sailors who have not reserved #103: use NOT IN
- To understand semantics of nested queries, think of a nested loops evaluation



"Sailors whose rating is greater than that of sailor Horatio"

Nested:

```
SELECT *
FROM Sailors S
WHERE S.rating > ANY (SELECT S2.rating
FROM Sailors S2
WHERE S2.sname = 'Horatio')
```

"flat" query:

```
SELECT S1.sname
FROM Sailors S1, Sailors S2
WHERE S1.rating > S2.rating and S2.sname = 'Horatio'
```



Unnesting Nested Queries

Can sequentialize uncorrelated queries:

```
SELECT S.sname
FROM Sailors S
WHERE S.sid IN (SELECT R.sid
FROM Reserves R
WHERE R.bid=103)
```



Always possible in FROM clause

```
SELECT S.sname
FROM Sailors S, (SELECT R.sid
FROM Reserves R
WHERE R.bid=103) as X
WHERE S.sid = X.sid
```



```
WITH Tmp AS (
SELECT R.sid
FROM Reserves R
WHERE R.bid=103
)

SELECT S.sname
FROM Sailors S, Tmp
WHERE S.sid = Tmp.sid
```

Not in correlated subqueries



Nested Queries with Correlation

"Names of sailors who have reserved boat #103":

```
SELECT S.sname
FROM Sailors S
WHERE EXISTS (SELECT *
FROM Reserves R
WHERE R.bid=103 AND S.sid=R.sid)
```

- EXISTS: another set operator, like IN
- If UNIQUE is used, and * is replaced by R.bid:
 finds sailors with at most one reservation for boat #103
 - Why do we have to replace * by R.bid?
- Illustrates why, in general, subquery must be re-computed for each Sailors tuple



Nested Queries: INTERSECT vs IN

"sailors who have reserved both red & green boat":

SELECT S.sid
FROM Sailors S, Boats B, Reserves R
WHERE S.sid=R.sid AND R.bid=B.bid AND B.color='red'
AND S.sid IN (SELECT S2.sid

FROM Sailors S, Boats B, Reserves R
WHERE S.sid=R.sid AND R.bid=B.bid
AND B.color='red'
INTERSECT
SELECT S.sid
FROM Sailors S, Boats B, Reserves R
WHERE S.sid=R.sid AND R.bid=B.bid
AND B.color='green'

SELECT S.sid

WHERE S2.sid=R2.sid AND R2.bid=B2.bid
AND B2.color='green')

FROM Sailors S2, Boats B2, Reserves R2

 Similarly, EXCEPT queries re-written using NOT IN / NOT EXISTS ♥



Nested Queries: EXCEPT vs NOT EXISTS

- "sailors who have reserved all boats":
- Let's do it the hard way, without EXCEPT:

```
(1) SELECT S.sname
FROM Sailors S
WHERE NOT EXISTS
((SELECT B.bid
FROM Boats B)
EXCEPT
(SELECT R.bid
FROM Reserves R
WHERE R.sid=S.sid))
```

```
(2) SELECT S.sname
FROM Sailors S
Sailors S such that ... WHERE NOT EXISTS (SELECT B.bid
FROM Boats B
there is no boat B without ... WHERE NOT EXISTS (SELECT R.bid
FROM Reserves R

a Reserves tuple showing S reserved B
WHERE R.bid=B.bid
AND R.sid=S.sid ) )
```



More on Set Operators

- We have already seen IN, EXISTS and UNIQUE
 - Can also use NOT IN, NOT EXISTS and NOT UNIQUE
- Also available: op ANY, op ALL, op one of <, >, =, \neq , \leq , \geq
- "sailors whose rating is greater than that of sailor Horatio"

```
SELECT *
FROM Sailors S
WHERE S.rating > ANY (SELECT S2.rating
FROM Sailors S2
WHERE S2.sname = 'Horatio')
```



Expressions and Strings

SELECT S.age, age1=S.age-5, 2*S.age AS age2 FROM Sailors S WHERE S.sname LIKE 'B_%B'

- Illustrates use of arithmetic expressions and string pattern matching:
 - Find triples (of ages of sailors and two fields defined by expressions) for sailors whose names begin and end with B and contain at least three characters
- AS and = are two ways to name fields in result
- LIKE is used for string matching
 - `_' stands for any one character
 - `%' stands for 0 or more arbitrary characters



Breaking the Set: ORDER BY

- So far: Query results are (multi) sets, hence unordered
 Sometimes: need result sorted
- ORDER BY clause does this:

SELECT [DISTINCT] target-list
FROM relation-list
WHERE qualification
ORDER BY sort-list [ASC|DESC]

- sort-list: list of attributes for ordering (ascending or descending order)
- Ex: "Names of all sailors, in alphabetical order"

SELECT S.sname FROM Sailors S ORDER BY S.sname



Grouping

- So far: aggregate operators applied to all (qualifying) tuples.
 Sometimes: apply to each of several groups of tuples
- Consider: "age of the youngest sailor for each rating level"
 - Unknown # of rating levels, and rating values for levels
 - If we knew rating values go from 1 to 10: can write loop of 10 queries:

```
For i = 1, 2, ..., 10:

SELECT MIN (S.age)

FROM Sailors S

WHERE S.rating = i
```

...or use GROUP BY:

SELECT MIN(S.age)
FROM Sailors S
GROUP BY S.rating



Queries With GROUP BY and HAVING

SELECT [DISTINCT] target-list
FROM relation-list
WHERE qualification
GROUP BY grouping-list

group-qualification

- target-list contains (i) attribute names, (ii) aggregate terms (ex: MIN(S.age))
- grouping-list: list of attributes for grouping

HAVING

- group-qualification: group selection criterion (predicate on grouping-list)
- target-list attributes must be subset of grouping-list
 - A group is a set of tuples that have the same value for all attributes in grouping-list
 - Intuitively, each answer tuple corresponds to a group, and these attributes must have a single value per group



"Age of the youngest sailor with age \geq 18, for each rating with at least 2 <u>such</u> sailors"

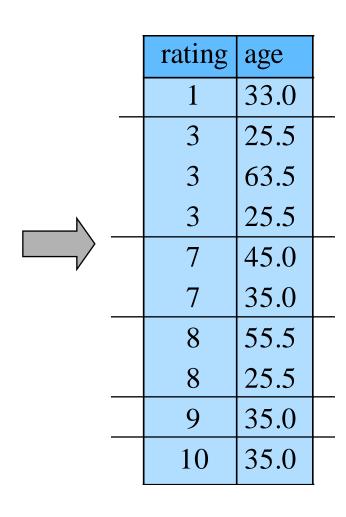
SELECT S.rating, MIN (S.age) AS minage FROM Sailors S WHERE S.age >= 18 GROUP BY S.rating HAVING COUNT (*) > 1

| sid | sname | rating | age |
|-----|---------|--------|------|
| 22 | dustin | 7 | 45.0 |
| 29 | brutus | 1 | 33.0 |
| 31 | lubber | 8 | 55.5 |
| 32 | andy | 8 | 25.5 |
| 58 | rusty | 10 | 35.0 |
| 64 | horatio | 7 | 35.0 |
| 71 | zorba | 10 | 16.0 |
| 74 | horatio | 9 | 35.0 |
| 85 | art | 3 | 25.5 |
| 95 | bob | 3 | 63.5 |
| 96 | frodo | 3 | 25.5 |



"Age of the youngest sailor with age \geq 18, for each rating with at least 2 such sailors"

| rating | age |
|--------|------|
| 7 | 45.0 |
| 1 | 33.0 |
| 8 | 55.5 |
| 8 | 25.5 |
| 10 | 35.0 |
| 7 | 35.0 |
| 10 | 16.0 |
| 9 | 35.0 |
| 3 | 25.5 |
| 3 | 63.5 |
| 3 | 25.5 |



SELECT S.rating, MIN (S.age) AS minage FROM Sailors S WHERE S.age >= 18 GROUP BY S.rating HAVING COUNT (*) > 1



| rating | minage |
|--------|--------|
| 3 | 25.5 |
| 7 | 35.0 |
| 8 | 25.5 |



Conceptual Evaluation

- compute cross-product of relation-list
- discard tuples that fail qualification
- delete `unnecessary' attributes

SELECT [DISTINCT] target-list
FROM relation-list
WHERE qualification
GROUP BY grouping-list
HAVING group-qualification

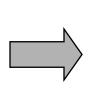
- partition remaining tuples into groups by value of attributes in grouping-list
- apply group-qualification to eliminate some groups
 - Expressions in group-qualification must have a single value per group!
- generate one answer tuple per qualifying group



"Age of the youngest sailor with age \geq 18, sailors

| rigo or the you | ingoot oanor | with ago |
|-----------------|---------------|----------|
| for each rating | with at least | 2 such s |
| and with every | sailor under | 60" |
| | | |

| rating | age |
|--------|------|
| 7 | 45.0 |
| 1 | 33.0 |
| 8 | 55.5 |
| 8 | 25.5 |
| 10 | 35.0 |
| 7 | 35.0 |
| 10 | 16.0 |
| 9 | 35.0 |
| 3 | 25.5 |
| 3 | 63.5 |
| 3 | 25.5 |



| rating | age | |
|--------|------|--|
| 1 | 33.0 | |
| 3 | 25.5 | |
| 3 | 63.5 | |
| 3 | 25.5 | |
| 7 | 45.0 | |
| 7 | 35.0 | |
| 8 | 55.5 | |
| 8 | 25.5 | |
| 9 | 35.0 | |
| 10 | 35.0 | |





| rating | minage |
|--------|--------|
| 7 | 35.0 |
| 8 | 25.5 |

What is the result of changing EVERY to ANY?



"Age of the youngest sailor with age \geq 18, for each rating with at least 2 sailors between 18 and 60"

SELECT S.rating, MIN (S.age) AS minage FROM Sailors S WHERE S.age >= 18 AND S.age <= 60 GROUP BY S.rating HAVING COUNT (*) > 1

Answer relation:

| rating | minage |
|--------|--------|
| 3 | 25.5 |
| 7 | 35.0 |
| 8 | 25.5 |

Sailors instance:

| sid | sname | rating | age |
|-----|---------|--------|------|
| 22 | dustin | 7 | 45.0 |
| 29 | brutus | 1 | 33.0 |
| 31 | lubber | 8 | 55.5 |
| 32 | andy | 8 | 25.5 |
| 58 | rusty | 10 | 35.0 |
| 64 | horatio | 7 | 35.0 |
| 71 | zorba | 10 | 16.0 |
| 74 | horatio | 9 | 35.0 |
| 85 | art | 3 | 25.5 |
| 95 | bob | 3 | 63.5 |
| 96 | frodo | 3 | 25.5 |



Quiz

HAVING does the same for GROUP BY groups as _____ does for SELECT items



"For each red boat, the number of reservations for this boat"

SELECT B.bid, COUNT (*) AS scount FROM Sailors S, Boats B, Reserves R WHERE S.sid=R.sid AND R.bid=B.bid AND B.color='red' GROUP BY B.bid

- Grouping over a join of three relations
- What if we remove B.color='red' skipping from the WHERE clause and add a HAVING clause with this condition?

SELECT B.bid, COUNT (*) AS scount FROM Sailors S, Boats B, Reserves R WHERE S.sid=R.sid AND R.bid=B.bid GROUP BY B.bid HAVING B.color='red'

What if we drop Sailors and the condition involving S.sid? SELECT B.bid, COUNT (*) AS scount FROM Boats B, Reserves R WHERE R.bid=B.bid AND B.color='red' GROUP BY B.bid



"Age of the youngest sailor with age ≥ 18, for each rating with at least 2 sailors (of any age)"

```
SELECT S.rating, MIN(S.age)
FROM Sailors S
WHERE S.age >= 18
GROUP BY S.rating
HAVING (SELECT COUNT (*)
FROM Sailors S2
WHERE S.rating=S2.rating) > 1
```

- Shows HAVING clause can also contain a subquery
- Compare this with the query where we considered only ratings with 2 sailors over 18: What if HAVING clause is replaced by:
 - HAVING COUNT(*) >1

```
SELECT S.rating, MIN(S.age)
FROM Sailors S
WHERE S.age >= 18
GROUP BY S.rating
HAVING COUNT (*) > 1
```

"Those ratings for which the average age were is the minimum over all ratings"

Aggregate operations cannot be nested!

WRONG:

```
SELECT S.rating
FROM Sailors S
WHERE S.age = (SELECT MIN (AVG (S2.age))
FROM Sailors S2)
```

Correct solution (in SQL/92):

```
SELECT Temp.rating, Temp.avgage
FROM (SELECT S.rating, AVG (S.age) AS avgage
FROM Sailors S
GROUP BY S.rating) AS Temp
WHERE Temp.avgage = (SELECT MIN (Temp.avgage)
FROM Temp)
```



Null Values

- Field values in a tuple are sometimes
 unknown (e.g., a rating has not been assigned)
 or inapplicable (e.g., no spouse's name)
 - SQL provides a special value null for such situations
- Null complicates many issues, e.g.:
 - Special operators needed to check if value is/is not null
 - Is rating>8 true or false when rating is equal to null?
 - What about AND, OR and NOT connectives?
 - We need a 3-valued logic (true, false and unknown)
 - Meaning of constructs must be defined carefully
 - · e.g., WHERE clause eliminates rows that don't evaluate to true
 - New operators (in particular, outer joins) possible/needed



Integrity Constraints (Review)

- IC describes conditions that every legal instance of a relation must satisfy
 - Inserts/deletes/updates violating ICs disallowed
 - Can be used to ensure application semantics (e.g., sid is a key),
 or prevent inconsistencies (e.g., sname has to be a string, age must be < 200)
- Types of IC's: Domain constraints, primary key constraints, foreign key constraints, general constraints
 - Domain constraints: Field values must be of right type. Always enforced



General Constraints

- Useful when more general ICs than keys are involved
- Can use queries to express constraint
- Constraints can be named

```
CREATE TABLE Sailors

( sid INTEGER,
 sname CHAR(10),
 rating INTEGER,
 age REAL,
 PRIMARY KEY (sid),
 CHECK (rating >= 1 AND rating <= 10)
)
```



Assertions

- CHECK constraint is awkward and wrong!
- If Sailors is empty, number of Boats tuples can be anything

```
CREATE TABLE Sailors ( sid INTEGER, sname CHAR(10), rating INTEGER, age REAL, PRIMARY KEY (sid), CHECK ( (SELECT COUNT (S.sid) FROM Sailors S) + (SELECT COUNT (B.bid) FROM Boats B) < 100 )
```

ASSERTION is the right solution:
 not associated with either table

```
CREATE ASSERTION smallClub
CHECK
( (SELECT COUNT (S.sid) FROM Sailors S)
+ (SELECT COUNT (B.bid) FROM Boats B) < 100
)
```



Triggers

- Trigger: procedure that starts automatically if & when specified changes occur to the database
- Three parts ("ECA rules"):
 - Event -- activates the trigger
 - Condition -- tests whether the triggers should run
 - Action -- what happens if the trigger runs



Triggers: Example (SQL:1999)

```
CREATE TRIGGER youngSailorUpdate

AFTER INSERT ON Sailors

REFERENCING NEW TABLE NewSailors

FOR EACH STATEMENT

INSERT

INTO YoungSailors( sid, name, age, rating )

SELECT sid, name, age, rating

FROM NewSailors N

WHERE N.age <= 18
```



Summary

- SQL important factor for acceptance of relational model
 - more natural than earlier, procedural query languages
 - Simple, easy-to-grasp paradigm: sets + few generic operations on them
 - Relationally complete = as powerful as relational algebra
 - in fact, significantly more expressive power than relational algebra
 - Not computationally complete! (no recursion, for example)
- Set orientation good basis for declarative query language
 - Declarative = describe desired result (well, almost :-), more user-oriented (imperative = describe algorithm; more implementation-oriented)
- SQL allows specification of integrity constraints
- Triggers respond to changes in the database



Summary (Contd.)

- Many alternative phrasings
 - optimizer should look for most efficient evaluation plan
 - In practice, users need to be aware of how queries are optimized and evaluated for best results
- NULL for unknown field values
 - brings many complications
- ...and we have left out a lot!
 - Recursion, PL/SQL, schema evolution, ...